

**State of Illinois Uniform Notice of Funding Opportunity (NOFO)
Summary Information**

| | |
|---------------------------------------|--|
| Awarding Agency Name | Commerce And Econ Opp |
| Agency Contact | John O'Conner (john.oconner@illinois.gov) |
| Announcement Type | Initial |
| Type of Assistance Instrument | Grant |
| Funding Opportunity Number | FY24-1 Rebuild Downtowns & Main Streets/RISE Implementation Grants |
| Funding Opportunity Title | Rebuild Downtowns & Main Streets Capital Grant |
| CSFA Number | 420-00-2560 |
| CSFA Popular Name | Rebuild Downtowns & Main Streets |
| Anticipated Number of Awards | 20 |
| Estimated Total Program Funding | \$20,000,000 |
| Award Range | \$250000 - \$2000000 |
| Source of Funding | Federal and State |
| Cost Sharing or Matching Requirements | Yes |
| Indirect Costs Allowed | Yes |
| Restrictions on Indirect Costs | <p>Yes : Indirect or administrative costs under these programs cannot be applied to capital expenditures such as those on land acquisition, site development, construction, and infrastructure.</p> <p>Indirect costs may therefore be eligible for RISE projects in whole or in part, depending on the nature of the project and its associated expenditures. No indirect costs will be eligible for reimbursement under RDMS, however, since that program is limited to capital-only projects.</p> |
| Posted Date | 04/22/2024 |
| Application Date Range | 04/22/2024 - 07/22/2024 : 5:00PM |
| Grant Application Link | <p>Please select the entire address below and paste it into the browser...</p> <p>https://dceo.illinois.gov/aboutdceo/grantopportunities/2560-2765--3304-2764.html</p> |
| Technical Assistance Session | <p>Offered : Yes Mandatory : No Date : 05/01/2024 : 10:30AM Registration link : https://illinois.webex.com/illinois/ldr.php?RCID=d37afa1a40eaf368e2788841caf7f466</p> |

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| Agency Contact | John O'Conner (john.oconner@illinois.gov) |
| Announcement Type | Initial |
| Type of Assistance Instrument | Grant |
| Funding Opportunity Number | FY24-1 Rebuild Downtowns & Main Streets/RISE Implementation Grants |
| Funding Opportunity Title | RISE Implementation Grant Program |
| CSFA Number | 420-35-3304 |
| CSFA Popular Name | RISE Implementation Grants |
| Anticipated Number of Awards | 20 |
| Estimated Total Program Funding | \$10,000,000 |
| Award Range | \$250000 - \$2000000 |
| Source of Funding | Federal and State |
| Cost Sharing or Matching Requirements | Yes |
| Indirect Costs Allowed | Yes |
| Restrictions on Indirect Costs | <p>Yes : Indirect or administrative costs under these programs cannot be applied to capital expenditures such as those on land acquisition, site development, construction, and infrastructure.</p> <p>Indirect costs may therefore be eligible for RISE projects in whole or in part, depending on the nature of the project and its associated expenditures. No indirect costs will be eligible for reimbursement under RDMS, however, since that program is limited to capital-only projects.</p> |
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Agency-specific Content for the Notice of Funding Opportunity Rebuild Downtowns & Main Streets/RISE Implementation Grants NOFO ID: 2560-2765 and 3304-2764

For information about grants please visit <https://dceo.illinois.gov/aboutdceo/grantopportunities/granteeresources.html>.

A. Program Description

Notice of Funding Opportunity Intent

The Illinois Department of Commerce and Economic Opportunity (the “Department” or “DCEO”) is issuing this Notice of Funding Opportunity (“NOFO”) to request applications for the Rebuild Downtowns & Main Streets (RDMS) Capital Grant Program and for the Research in Illinois to Spur Economic Recovery (RISE) Implementation Grant Program. As described below, each program will facilitate investments intended to accelerate economic recovery following the pandemic. Applicants will provide a single application for an eligible project, and within the application denote whether the project is eligible for RDMS, RISE, or both.

Program Description

The Illinois Department of Commerce and Economic Opportunity (DCEO) is providing a joint application for the RDMS and RISE Implementation programs. \$20 million has been allocated for RDMS and \$10 million for RISE, with individual grants for both opportunities ranging from \$250,000 to \$2 million. An applicant may receive no more than one award through this NOFO.

RDMS will facilitate investment to revitalize commercial corridors and downtown areas in Illinois, offering capital grants to support improvements in communities that have experienced disinvestment, particularly those hardest-hit by the COVID-19 crisis. This support will help to accelerate Illinois’ economic recovery and promote long-term, sustainable, and inclusive growth for the state. RDMS grants are available for capital projects only. Examples of suitable projects include but are not limited to:

- Improvement and repair of roads, sidewalks, and lighting in commercial corridors and downtowns
- Development of public spaces that will attract events to and/or add to the appeal of commercial corridors and downtowns
- Improvement and repair of water and sewer infrastructure serving commercial corridors and downtowns
- Mixed-use development that will add to the vitality of commercial corridors and downtowns

RISE Implementation Grants are a strategic effort to allocate funding towards economic development projects or initiatives identified by RISE Local and Regional Planning (RISE Planning) grants. RISE Planning awards, announced in the fall of 2022, reimbursed awardees for costs associated with developing or updating an economic recovery plan responsive to the COVID health and economic crisis. Examples of suitable projects for RISE Implementation Grants include but are not limited to the following types of projects:

- Infrastructure projects identified by economic recovery plans
- Housing or multi-use development projects consistent with economic recovery plans
- Workforce training programming consistent with economic recovery plans
- Site readiness projects consistent with economic recovery plans

RISE Implementation projects must be aligned with recommendations from a recovery plan produced as a result of a project funded through a RISE Planning grant. Unlike RDMS, RISE projects do not need to be wholly capital in nature and do not need to be located in commercial corridors or downtown areas.

Program History

This NOFO includes the second round of RDMS funding. The first round, with awards announced in August 2022¹, provided grants of up to \$3 million to support improvements and encourage investment in commercial corridors and downtowns that have experienced disinvestment, particularly in communities hardest-hit by the COVID-19 public health and economic crisis. Successful applicants demonstrated that their projects were well-conceived, consistent with local and regional economic development strategies, facilitated recovery from the economic downturn caused by the COVID-19 pandemic, and promoted job creation and enhanced the quality of life in the area.

The RISE Planning grant program was established to create or update local and regional Economic Recovery Plans. These plans were intended to assess current conditions and identify initiatives and investments to help communities and regions recover from the health and economic crisis caused by the COVID-19 pandemic. Awards were announced in September 2022.² RISE Implementation grants offer potential funding for worthy projects consistent with, or specifically identified through, these recovery plans.

Performance Goals and Measures

Grantees will be required to submit performance data to the Department on a quarterly basis. Performance reporting may encompass both achievement of milestones associated with implementation of the project and subsequent impacts on the community. Performance measures may include but will not necessarily be limited to:

- Project milestones completed
- Public and private investment leveraged

Other Information

Applicants will be asked whether they are applying for an RDMS or RISE Implementation grant - or both. However, the Department will evaluate submissions for both programs using the same criteria and may provide awards under either program (if eligible). Applicants shall only submit one application and are only eligible for one award, even if the project is eligible under both programs.

B. Funding Information

This grant program is utilizing state funds appropriated by the General Assembly. Total amount of funding expected to be awarded through this NOFO is \$20 million for RDMS and \$10 million for RISE Implementation. Awards will range from \$250,000 to \$2 million for both programs. The Department expects to make 20-25 awards through this NOFO.

Anticipated start date for awards is fall 2024. The period of performance is expected to be late calendar year 2024 through the end of calendar year 2026.

Grants for both programs will be provided on a reimbursement basis.

RDMS grants will reimburse capital expenditures only, while RISE Implementation grants may include capital and non-capital elements. Capital expenditures refer generally to purchase or construction of long-lived assets. For more information on which expenditures qualify as capital, please review the Rebuild Illinois Bondability Guidelines.³

The release of this NOFO does not obligate the Department to make an award.

¹ See announcement and list of RDMS awardees here: <https://www.illinois.gov/news/press-release.25318.html#:~:text=%22I%20am%20thrilled%20to%20announce,quality%20of%20life%20for%20our>

² See announcement of RISE Planning grant awards here: <https://www.illinois.gov/news/press-release.25429.html>

³ See State of Illinois link at: <https://dceo.illinois.gov/content/dam/soi/en/web/dceo/communitydevelopment/documents/bondability-guidelines-rebuild-il.pdf>

C. Eligibility Information

An entity must be registered in the Grant Accountability and Transparency Act (GATA) Grantee Portal, <https://grants.illinois.gov/portal/>, at the time of grant application. The portal will verify that the entity:

- Has a valid FEIN number (<https://www.irs.gov/individuals/international-taxpayers/taxpayer-identification-numbers-tin#:~:text=You%20can%20use%20the%20IRS%27s,for%20Individual%20Taxpayer%20Identification%20Number>);
- Has a current SAM.gov registration (<https://sam.gov>), SAM.gov registrations must be marked as “public” to allow the GATA Grantee Portal to expedite the review of the federal information;
- Has a valid UEI number (<https://sam.gov>);
- Is not on the Federal Excluded Parties List (verified at <https://sam.gov>);
- Is in Good Standing with the Illinois Secretary of State, as applicable (https://www.cyberdriveillinois.com/departments/business_services/corp.html);
- Is not on the Illinois Stop Payment list (verified once entity is registered in GATA Grantee Portal); and
- Is not on the Department of Healthcare and Family Services Provider Sanctions list (<https://www.illinois.gov/hfs/oig/Pages/SanctionsList.aspx>).

Entities on the Illinois Stop Payment List and/or the Federal Excluded Parties List at time of application submission will not be considered for an award.

An automated email notification to the entity alerts them of “qualified” status or informs how to remediate a negative verification (e.g., not in good standing with the Secretary of State). A federal Debarred and Suspended status cannot be remediated.

Pursuant to the policy of the Illinois Office of the Comptroller, to receive grant funds from the State of Illinois, a grantee must be considered a regarded entity by the IRS for federal income tax purposes. Disregarded entities will not be eligible to receive grant funds.

1. Eligible Applicants include:

Eligibility for both RDMS and RISE Implementation grants will be determined by characteristics of the applicant and the project.

The following entities are eligible to apply for both RDMS and RISE Implementation grants:

- Economic development organizations (EDOs)⁴
- Local units of government (e.g., municipalities, counties, townships)
- Private Businesses⁵

Special purpose local units of government (e.g., library districts, fire protection districts, schools) are not eligible.

Under RDMS, projects must be located in a commercial corridor or downtown area to be eligible. This requires that the project be in close proximity of multiple public-facing business establishments. Specific project types eligible under RDMS include -- but are not limited to -- new construction or rehabilitation of the following:

⁴ Economic Development Organizations (EDOs) are entities dedicated to the economic development of a region within the state. EDOs are typically government agencies, public-private partnerships, or non-governmental organizations who collaborate with public and private stakeholders within a defined sub-state area. For purposes of these programs, EDOs can also include organizations providing planning and technical assistance to counties and communities intended to guide and facilitate development of necessary transportation and other infrastructure needed to sustain economic growth and opportunity.

⁵ Private businesses were not eligible for RISE Planning grants, but they may be eligible for RISE Implementation grants if the proposed project or initiative aligns with a RISE Planning grant economic recovery plan.

- Roads, parking, and sidewalks
- Transit, pedestrian, or bicycle infrastructure
- Broadband infrastructure
- Water/sewer infrastructure
- Public spaces, such as parks and plazas, including lighting and landscaping
- Rehabilitation of structures in disrepair
- Mixed-use development or transit-oriented development

RISE Implementation projects must align with priorities identified through a RISE planning grant recovery plan and must be intended to accelerate economic recovery. RISE Implementation applicants must document their alignment with RISE by including a letter of support from the entity who issued the RISE Planning economic recovery plan associated with the RISE Implementation application. Expenditures may be capital or non-capital in nature. Examples of project types eligible under RISE Implementation include but are not limited to:

- Water, sewer, or broadband infrastructure
- Investment in affordable housing
- Local workforce development programming
- Retrofitting or renovating facilities and buildings
- Investment in site readiness for business development
- Tourism promotion programming

The following types of projects are specifically ineligible for RDMS or RISE Implementation grants:

- Construction or renovation of buildings, or portions thereof, used predominantly for the general conduct of government (e.g., city halls, courthouses, jails, police stations, libraries, schools)
- Costs of operating and maintaining public infrastructure and services
- Projects for which construction-related activities beyond design & engineering have been initiated.

Successful applicants for both programs will demonstrate that the proposed project or initiative is well-conceived, consistent with local and regional economic development strategies, and will facilitate economic recovery and revitalization.

The Department complies with all applicable provisions of state and federal laws and regulations pertaining to nondiscrimination, sexual harassment and equal employment opportunity including, but not limited to: The Illinois Human Rights Act (775 ILCS 5/1-101 et seq.), The Public Works Employment Discrimination Act (775 ILCS 10/1 et seq.), The United States Civil Rights Act of 1964 (as amended) (42 USC 2000a-and 2000H-6), Section 504 of the Rehabilitation Act of 1973 (29 USC 794), The Americans with Disabilities Act of 1990 (42 USC 12101 et seq.), and The Age Discrimination Act (42 USC 6101 et seq.).

2. Cost Sharing or Matching.

For both RDMS and RISE Implementation, the applicant is required to provide matching funds as follows:

- Local units of government must provide a cash match of 25% of total project costs (a 1:3 match).
- All other applicants must provide a cash match of 50% of total project costs (a 1:1 match). Note that this matching requirement is triggered if any project capital investment will occur on property that is not owned by a local unit of government.

Matching funds can come from the organization's own resources, other private funds, or other non-state grant funds. The applicant must document the availability of matching funds in the application.

3. Indirect Cost Rate.

In order to charge indirect costs to a grant, the applicant organization must have an annually negotiated indirect cost rate agreement (NICRA). There are three types of NICRAs:

a) Federally Negotiated Rate. Organizations that receive direct federal funding, may have an indirect cost rate that was negotiated with the Federal Cognizant Agency. Illinois will accept the federally negotiated rate. The organization must provide a copy of the federally NICRA.

b) State Negotiated Rate. The organization may negotiate an indirect cost rate with the State of Illinois if they do not have a Federally Negotiated Rate. If an organization has not previously established an indirect cost rate, an indirect cost rate proposal must be submitted through State of Illinois' centralized indirect cost rate system no later than three months after receipt of a Notice of State Award (NOSA). If an organization previously established an indirect cost rate, the organization must annually submit a new indirect cost proposal through CARS within six to nine months after the close of the grantee's fiscal year, depending on the grantee's audit type requirements.

c) De Minimis Rate. An organization may elect a de minimis rate of 10% of modified total direct cost (MTDC). Once established, the De Minimis Rate may be used indefinitely. The State of Illinois must verify the calculation of the MTDC annually in order to accept the De Minimis Rate.

All grantees must complete an indirect cost rate negotiation or elect the De Minimis Rate to claim indirect costs. Indirect costs claimed without a negotiated rate or a De Minimis Rate election on record in the State of Illinois' centralized indirect cost rate system may be subject to disallowance.

Grantees have discretion and can elect to waive payment for indirect costs. Grantees that elect to waive payments for indirect costs cannot be reimbursed for indirect costs. The organization must record an election to "Waive Indirect Costs" into the State of Illinois' centralized indirect cost rate system.

State Universities may request an indirect cost rate of 10% due to the State of Illinois' continuous funding of a portion of facility and administrative costs.

Indirect or administrative costs under these programs cannot be applied to capital expenditures such as those on land acquisition, site development, construction, and infrastructure.

Indirect costs may therefore be eligible for RISE projects in whole or in part, depending on the nature of the project and its associated expenditures. No indirect costs will be eligible for reimbursement under RDMS, however, since that program is limited to capital-only projects.

4. Other, if applicable.

Applicants may submit one application for this opportunity.

D. Application and Submission Information

1. Address to Request Application Package.

Grant application forms are available at the web link provided in the "Grant Application Link" field of this announcement or by contacting the Program Manager:

John O'Conner
Illinois Department of Commerce & Economic Opportunity
607 E. Adams
Springfield, IL 62701
Tele: 217-993-2275
Email: CEO.RDMS@illinois.gov

2. Content and Form of Application Submission.

A standard application package must be submitted and reviewed by the Department. Each package should contain the following items:

- Uniform Grant Application in fillable PDF format. Signature page must be printed, signed, scanned and submitted with application.
- Uniform Budget utilizing the template provided by DCEO for this project. The entire budget with all worksheets included even if the worksheets are not relevant to the grant opportunity must be submitted with the application materials. Signature page must be printed, signed, scanned and submitted with application.
- Conflict of Interest Disclosure
- Mandatory Disclosures

This Notice of Funding Opportunity also requires the **submission of the following other programmatic specific items as part of a program application:**

- Program Application
- Letters of Recommendation: Applicants must provide letters of support (LOS) from stakeholders in the community as part of their applications. Please note the following:
 - LOS must be included in the application to be considered. Any LOS submitted separately by email or regular mail will not be considered.
 - RISE Implementation applications must include a LOS from the RISE Planning grant awardee that developed the corresponding economic recovery plan, unless the applicant issued the recovery plan.
 - At least one LOS should come from an elected official (e.g., mayor, state legislator, or alderman) serving the area. Additional LOS may come from other stakeholders such as community organizations, local businesses, and local

Please note there is a maximum upload of 10 documents in the web form that you submit the application, so combining files may be necessary.

3. Unique Entity Identifier (UEI) and System for Award Management (SAM)

Each applicant (unless the applicant is an individual or Federal or State awarding agency that is exempt from those requirements under 2 CFR 25.110(b) or (c), or has an exception approved by the Federal or State awarding agency under 2 CFR 25.110(d)) is required to:

- (i) Be registered in SAM. To establish a SAM registration, go to www.SAM.gov and/or utilize this instructional link: www.grants.illinois.gov Resource Links tab. SAM.gov registrations must be "public."
- (ii) Provide a valid UEI number in the GATA Grantee Portal registration.
- (iii) Continue to maintain an active SAM registration with current information at all times during which it has an active Federal, Federal pass-through or State award or an application or plan under consideration by a Federal or State awarding agency. The State awarding agency may not make a Federal pass-through or State award to an applicant until the applicant has complied with all applicable UEI and SAM requirements and, if an applicant has not fully complied with the requirements by the time the State awarding agency is ready to make a Federal pass-through or State award, the State awarding agency may determine that the applicant is not qualified to receive a Federal pass-through or State award and use that determination as a basis for making a Federal pass-through or State award to another applicant.

4. Submission Dates and Times.

Applications for this opportunity must be submitted by 5PM CST on Monday, July 22nd, 2024.

Application materials must be submitted to the Department via electronic form at <https://app.smartsheet.com/b/form/a2931c36e0da49e294f7ae2019801883>

The Department is under no obligation to review applications that do not comply with the above requirements.

Failure to meet the application deadline may result in the Department returning application without review or may preclude the Department from making the award.

5. Intergovernmental Review, if applicable.

N/A

6. Funding Restrictions.

This opportunity does not allow reimbursement of pre-award costs. Other restrictions can be found in Sections A., B. and C.

7. Other Submission Requirements.

Documents stored in Google Docs or other cloud-based servers are not allowed.

Applicants may confirm receipt of the application and documents by contacting the program contact listed in this NOFO.

E. Application Review Information.

1. Criteria.

Grant proposals will be reviewed on a competitive basis. Each proposal will be scored on a 100-point scale. The Department shall consider the following criteria when evaluating the application submittal: Need, Capacity, and Quality. The extent of the applicant's matching expenditures beyond the minimum requirement required by Section C.2 will not impact the project score.

| Need- Identification of stakeholders, facts, and evidence that demonstrate the proposal supports the grant program purpose (30 points) | |
|--|----|
| Project or initiative is located in an area that is experiencing economic disinvestment, job loss, or other form of particular economic hardship. Relevant factors may include local/regional unemployment rates, poverty rates, vacancy rates, status as underserved community ⁶ , etc. | 12 |
| Applicant demonstrates consistency with applicable local/regional economic development plans | 10 |
| Letters of support from elected officials and other community stakeholders (4 points for at least one LOS from a local elected official, 1 point apiece for up to four additional LOS. Up to 2 points may be deducted from score if LOS in total represent only a narrow set and/or category of community stakeholders.) | 8 |
| Capacity- The ability of the applicant to execute the project according to requirements of the grant program (20 points) | |

⁶ See DCEO map of underserved communities at this link: <https://dceo.illinois.gov/expandrelocate/incentives/underservedareas.html>

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| Applicant demonstrates relevant experience and expertise to manage grants of this nature | 4 |
| Applicant demonstrates relevant experience and expertise to execute project or initiative of this nature | 10 |
| Applicant provides detailed plan to fully fund the project, including status of commitments for matching funds | 6 |
| Quality- The totality of features and characteristics of the project that indicate its ability to satisfy the requirements of the grant program (50 points) | |
| Applicant provides a complete description of the project or initiative sufficient to enhance understanding of the project scope. Depending on the nature of the project or initiative, this could include maps, demographic data, business information, and pictures as necessary and appropriate. | 6 |
| Scope of work for the project is clear, coherent, well-aligned with the purpose of the project or initiative, and accounts for any necessary permits. | 5 |
| Applicant provides clear timeline, including intermediate milestones, for successful completion of the project or initiative | 5 |
| Budget is complete, clear and aligned with scope of work | 7 |
| Budget is reasonable and supported by evidence | 7 |
| Applicant demonstrates that the project or initiative will address an existing barrier to robust economic development | 8 |
| Applicant demonstrates that the project will promote economic development, including long-term job creation, in the project area | 6 |
| Applicant demonstrates that the project will leverage additional investment in the area and/or improve conditions for future investment in the project area | 6 |

2. Review and Selection Process.

Applications will be graded using the Merit Review Process and scored on the criteria specified in Section E.1. The Department will designate an Evaluation Committee to grade each eligible application received for this funding opportunity. The final score of each Committee member will be calculated and an average of all scores will be the final applicant score. Each applicant will then be ranked for each program, and awards will be recommended according to project ranking and available funding. DCEO may take into account balance across several dimensions, in addition to applicant scores, when making award determinations. Such considerations may include the geographic distribution of projects across the state; sufficient inclusion of underserved communities; and sufficient inclusion of projects in urban, rural, and suburban locations.

The Merit Based Review process is subject to appeal. However, competitive grant appeals are limited to the evaluation process. Evaluation scores may not be protested. Only the evaluation process is subject to appeal. The appeal must be submitted in writing to the Department within 14 calendar days after the date that the grant award notice has been published. The written appeal shall include the name and address of the appealing party, the identification of the grant and a statement of reasons for the appeal. To file an appeal, applicants must submit the appeal in writing and in accordance with the Merit-Based Application Review Appeals Process listed on the Grant Opportunities page of the DCEO website:

<https://dceo.illinois.gov/aboutdceo/grantopportunities/meritappealreview.html>.

3. Anticipated Announcement and State Award Dates, if applicable.

After the application period is closed, the Department will conduct a merit based review of eligible applications. Successful applicants will receive a Notice of State Award (NOSA) to initiate the grant agreement phase. During this phase, you will be contacted by a grant manager to develop a grant agreement, which can be a months long process depending on complexity, cooperation, and conformity with all applicable federal and state laws.

The Department reserves the right to issue a reduced award, or not to issue any award.

F. Award Administration Information

1. State Award Notices.

The Notice of State Award (NOSA) will specify the funding terms and specific conditions resulting from the pre-award risk assessments and the merit based review process. The NOSA must be accepted in the GATA Portal by an authorized representative of the grantee organization. The NOSA is not an authorization to begin performance or incur costs.

2. Administrative and National Policy Requirements.

Subrecipients and Subcontractors: Agreement(s) and budget(s) with subrecipients and subcontractors must be pre-approved by and on file with DCEO. Agreements can be submitted to DCEO when available. Subcontractors and subrecipients are subject to all applicable provisions of the Agreement(s) executed between DCEO and the grantee. The successful applicant shall retain sole responsibility for the performance of its subrecipient(s) and/or subcontractor(s).

Grant Uniform Requirements: The Grant Accountability and Transparency Act (30 ILCS 708/1 *et seq.*) (and its related administrative rules, 44 Ill. Admin. Code Part 7000), was enacted to increase the accountability and transparency in the use of grant funds from whatever source and to reduce administrative burdens on both State agencies and grantees by adopting federal guidance and regulations applicable to those grant funds; specifically, the Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards (2 CFR 200).

Procurement: Grantees will be required to adhere to methods of procurement per the Procurement Standards (2 CFR 200.317 – 2 CFR 200.327).

Business Enterprise Program: For grant awards of \$250,000 or more, grantees will be required to comply with the Business Enterprise Program for Minorities, Females, and Persons with Disabilities Act (30 ILCS 575/0.01 *et seq.*), which establishes a goal for contracting with businesses that have been certified as owned and controlled by persons who are minority, female or who have disabilities. The Department and the Capital Development Board will work with the grantees to ensure compliance prior to the establishment of the grant agreement as well as through the life of the grant.

Environmental Review Requirements: Capital grants will be reviewed to determine environmental review requirements. Based on the scope of the project, the grantees may be required to complete additional environmental approvals before a grant agreement can be initiated.

Illinois Works Jobs Program Act (30 ILCS 559/20-1 *et seq.*): For grants with an estimated total project cost of \$500,000 or more, the grantee will be required to comply with the Illinois Works Apprenticeship Initiative (30 ILCS 559/20-20 to 20-25) and all applicable administrative rules. The “estimated total project cost” is a good faith approximation of the costs of an entire project being paid for in whole or in part by appropriated capital funds to construct a public work. The goal of the Illinois Apprenticeship Initiative is that apprentices will perform either 10% of the total labor

hours actually worked in each prevailing wage classification or 10% of the estimated labor hours in each prevailing wage classification, whichever is less. Grantees will be permitted to seek from the Department a waiver or reduction of this goal in certain circumstances pursuant to 30 ILCS 559/20-20(b). The grantee must ensure compliance for the life of the entire project, including during the term of the grant and after the term ends, if applicable, and will be required to report on and certify its compliance.

Prevailing Wage Act (820 ILCS 130/0.01 et seq.): Applicants that are awarded grants shall comply with all requirements of the Prevailing Wage Act, including but not limited to, inserting into all contracts for construction a stipulation to the effect that not less than the prevailing rate of wages as applicable to the project shall be paid to all laborers, workers, and mechanics performing work under the award and requiring all bonds of contractors to include a provision as will guarantee the faithful performance of such prevailing wage clause as provided by contract. Grantees will be required to report on Prevailing Wage Act compliance on a monthly basis.

Employment of Illinois Workers on Public Works Act (30 ILCS 570/0.01 et seq.): All grantees will be required to comply with the Employment of Illinois Workers on Public Works Act (30 ILCS 570/0.01 et seq.) (the "Act"), which provides that whenever there is a period of excessive unemployment in Illinois (as defined by the Act), if the Grantee is using Grant Funds for (1) constructing or building any public works, or (2) performing the clean-up and on-site disposal of hazardous waste for the State of Illinois or any political subdivision of the State, then the Grantee shall employ at least 90% Illinois laborers on such project. Illinois laborers refers to any person who has resided in Illinois for at least 30 days and intends to become or remain an Illinois resident. Grantees may receive an exception from this requirement by submitting a request and supporting documents certifying that Illinois laborers are either not available, or are incapable of performing the particular type of work involved. The certification must: (a) be submitted to the grant manager within the first quarter of the Award Term; (b) provide sufficient support that demonstrates the exception is met; (c) be signed by an authorized signatory of the Grantee; and (d) be approved by DCEO in consultation with the Illinois Department of Labor. In addition, every contractor on a public works project or improvement or hazardous waste clean-up and on-site disposal project in this State may place on such work no more than 3 (or 6 in the case of a hazardous waste clean-up and on-site disposal project) of the contractor's regularly employed non-resident executive and technical experts.

3. Reporting.

Periodic Performance Report (PPR) and Periodic Financial Report (PFR)

Grantees funded through this NOFO are required to submit in the format required by the Grantor, at least on a quarterly basis, the PPR and PFR electronically to their assigned grant manager. The first of such reports shall cover the first three months after the award begins. Pursuant to 2 CFR 200.328, Periodic Financial Reports shall be submitted no later than 30 calendar days following the period covered by the report. Pursuant to 2 CFR 200.329, Periodic Performance Reports shall be submitted no later than 30 calendar days following the period covered by the report. Any additional reporting requirements will be disclosed in the NOSA. Grantees are required within 45 calendar days following the end of the period of performance to submit a final closeout report in the format required by the Grantor (See 2 CFR 200.344).

Monitoring

Grantees funded through this NOFO are subject to fiscal and programmatic monitoring visits by the Department in accordance with 2 CFR 200.337. They must have an open-door policy allowing periodic visits by Department monitors to evaluate the progress of the project and provide documentation upon request of the monitor. Program staff will also maintain contact with participants and monitor progress and performance of the contracts. The Department may modify grants based on performance.

Audit

Grantees shall be subject to Illinois' statewide Audit Report Review requirements. Terms of the Single Audit Act Amendments of 1996 (31 USC 7501-7507), Subpart F of 2 CFR Part 200, and the audit rules set forth under the Grant Accountability and Transparency Act shall apply (See 30 ILCS 708/65(c)).

G. State Awarding Agency Contact(s)

Grant Help Desk
Illinois Department of Commerce & Economic Opportunity
Email: **CEO.GrantHelp@illinois.gov**

H. Other Information, if applicable

N/A