ILLINOIS BROADBAND LAB

Illinois Department of Commerce & Economic Opportunity
OFFICE OF BROADBAND
JB Pritzker, Governor

UNIVERSITY OF ILLINOIS SYSTEM

Closing the Digital Divide in Illinois

Introduction to the Pre-Qualification Process for the BEAD-funded Connect Illinois Round 4

June 17, 2024

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Today's Agenda

Overview of BEAD-funded Connect Illinois Round 4

- Goals of the BEAD-funded Connect Illinois Round 4
- Overview of key milestones and where we are today
- Timeline of subgrantee selection process

Overview of Qualification Process for Subgrantees

- Qualifications required to participate in BEAD programs
- Context and objectives for pre-qualification process
- Timeline and outcomes of the pre-qualification process

Participating in the Pre-Qualification Process

- Step-by-step guide to participating in the pre-qualification process
- Next steps to submit pre-qualification materials

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1

Overview of Connect Illinois Round 4

Broadband Equity, Access, and Deployment (BEAD)

What are the goals of BEAD?

The BEAD Program awarded Illinois $1.04 billion to connect all unserved and underserved locations to broadband over the next 5 years

Ensure universal coverage across all un/underserved locations in the state, maximizing deployment of end-to-end fiber, and only using non-fiber where fiber costs are extremely high[1]

Run subgrantee process within one year of approval of the Initial Proposal, and complete implementation within four years of receipt of funds[3]

Prioritize projects providing broadband access to unserved and underserved locations and community anchor institutions, Wi-Fi equipment for MDUs then other digital equity and inclusion priorities[2]

Focus on improving broadband affordability through a low-cost broadband service option, and a middle-class affordability plan[4]

1. Page 14 of BEAD NOFO, on the definition of Priority Broadband Project
2. Page 33 of BEAD NOFO, on the allocation of grant funds
3. Page 65 of BEAD NOFO, on deployment deadlines and benchmarks
4. Page 42-46 of BEAD NOFO, on inclusion of affordability in the BEAD scoring criteria

Source: BEAD NOFO

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Illinois's Initial Proposal Volume II is approved by NTIA on June 13, 2024

What does this mean for Illinois?

- NTIA has approved Illinois's plan to conduct the subgrantee process
- However, the State cannot start the subgrantee process until the challenge process results are approved by NTIA

Biden-Harris Administration Approves Illinois, Oregon, and Puerto Rico's "Internet for All" Initial Proposal

FOR IMMEDIATE RELEASE
June 13, 2024

News Media Contact
NTIA, Office of Public Affairs

Two States and Puerto Rico Requested Access to BEAD Funds Totaling Over $2 billion

WASHINGTON – The Department of Commerce's National Telecommunications and Information Administration (NTIA) has approved Illinois, Oregon, and Puerto Rico's Initial Proposals for the Broadband Equity, Access, and Deployment (BEAD) program, a cornerstone of the Biden-Harris Administration's "Internet for All" initiative.

This approval enables Illinois, Oregon, and Puerto Rico to request access to funding and begin implementation of the BEAD program—a major step towards closing the digital divide and meeting the President's goal of connecting everyone in America with affordable, reliable, high-speed Internet service.

Today's action allows the entities to request access to:

- **Illinois:** More than $1 billion
- **Oregon:** More than $688 million
- **Puerto Rico:** More than $334 million

Source: Biden-Harris Administration Approves Illinois, Oregon, and Puerto Rico's "Internet for All" Initial Proposal, NTIA

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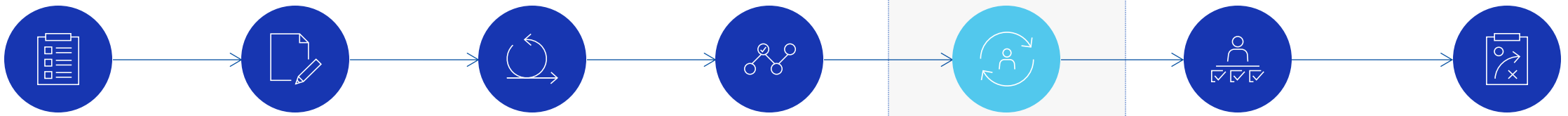
Overview of the BEAD-funded Connect Illinois Round 4 timeline & key milestones

TIMELINE PRELIMINARY **SUBJECT TO NTIA APPROVAL**

Focus of Today's Discussion



Five-Year Action Plan	Initial Proposal	Challenge Process	Request for Input (RFI)	Pre-qualification process	Subgrantee Selection	Final Proposal & Implementation
Submitted Aug 2023	Submitted late Dec 2023 Approved on June 13, 2024	Feb – Jun 2024 To finalize the map that will be used for subgrantee selection	May – Jun 2024 To finalize Project Area Units (PAUs) that will be used for subgrantee selection	Jun – Aug 2024 To enable early submission of pre-qualification materials and publish finalized PAUs	Expected to kick off Q3 2024	Due 1 year after Initial Proposal is approved (Expected Q3 2025)

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The IOB plans to use the pre-subgrantee process to collect pre-qualification materials and publish finalized PAUs

TIMELINE PRELIMINARY SUBJECT TO NTIA APPROVAL

RFI process

May – Jun 2024

State has conducted the RFI process to refine project area units and reduce likelihood of unserved locations without applications

Pre-qualification process

Jun – Aug 2024

- **Publication of finalized eligible locations, PAUs, reference cost, hard-to-serve PAUs**
- **Application window open for pre-qualification materials** in accordance with BEAD and IOB guidelines

Focus of Today's Discussion

Wave 1

Sep – Dec 2024

Focus: hard-to-serve areas

Approach:

End-to-end fiber projects are prioritized (as required by BEAD)

Only the applications including sufficient hard-to serve areas will be processed

Scoring rubric will be applied in areas where there are conflicting applications

Wave 2

Dec 2024 – Apr 2025

Focus: all remaining locations

Approach:

Determine the cost threshold (EHCPLT), above which the state will consider non-fiber projects

Providers are asked to **iteratively remove PAUs overlapping with other higher-ranking applications**

Wave 3

Apr – Sep 2025

Focus: all remaining locations

Approach:

For remaining unserved or underserved areas, the state will reach out to relevant providers to negotiate coverage for remaining unbid locations

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2

Overview of the Qualification Process for Subgrantees

There are seven components required to qualify for Connect Illinois Round 4

A
Financial capabilities

B
Managerial capabilities

C
Technical capabilities

D
Legal and regulatory compliance

E
Operational capabilities

F
Ownership

G
Stewardship of other public funding

Source: BEAD NOFO Section IV.D

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There are *four* stages where prospective subgrantees can submit qualification materials

INFORMATION BASED ON STATE DECISION TIMELINE TENTATIVE SUBJECT TO NTIA APPROVAL

Strongly encouraged Mandatory

Pre-qualification period

- Prospective subgrantees submit **non-project specific qualifications**
  - Allowed to submit some or all qualifications
- Receive feedback or approval of submitted materials
- Strongly encouraged and non-punitive

Focus of Today's Discussion

Application Period

Prospective subgrantees submit **project-specific qualifications**

Must submit any non-project related qualifications not approved during the pre-qualification period

Prior to Final Proposal

Provisionally selected awardees **submit mandatory revisions**

Provisionally selected awardees **submit any remaining qualification materials** necessary to meet State & BEAD requirements

Prior to Grant Agreement

Provisionally selected awardees **submit an irrevocable standby letter of credit or BEAD letter of credit waiver** (based on IV.D.2.a.ii of BEAD NOFO)

Awardees may also need to submit additional qualification materials requested from the state

Note: all timeline tentative and subject to NTIA approval

Source: Connect Illinois Initial Proposal Vol. 2

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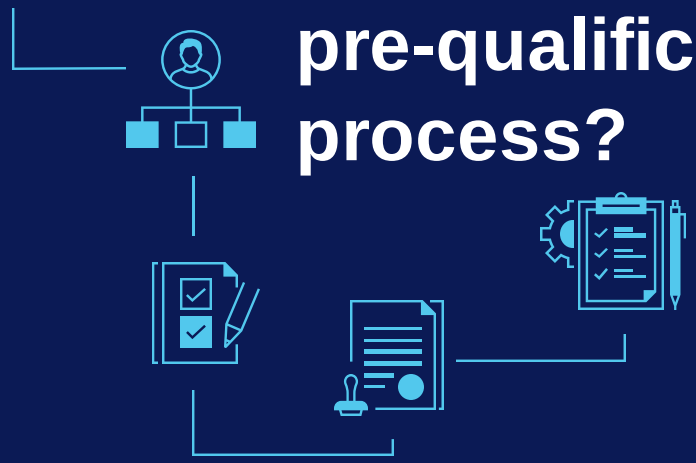
Why participate in the pre-qualification process?



1 Gain familiarity with BEAD requirements

Gain an early familiarity with the BEAD program's requirements and receive feedback on qualification materials from the IOB

2 Reduce administrative burden

Potentially obtain early approval on qualification materials, reducing the administrative burden during the subgrantee process

3 Mitigate risk and delays

Mitigate risk and potential delays by having more time to identify and resolve any potential shortcomings or concerns in the pre-qualification submissions before the sub-grantee process begins

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Timeline

There are two review periods for the pre-qualification process

IOB Feedback may be provided at any point of time up to Connect IL Round 4 Wave 1

Pre-qualification process opens

June 17

- Feedback will be **provided on a rolling basis** based on the submission date
- All applicants will receive feedback from the State before the subgrantee process opens

Priority Review Deadline

July 9

- Submit by the priority review deadline to receive feedback on materials with time to refine prior to subgrantee process launch
- You may **resubmit (incorporating feedback)** during the **pre-qualification process** or wait until the **subgrantee process opens**

Regular Review Deadline

July 23

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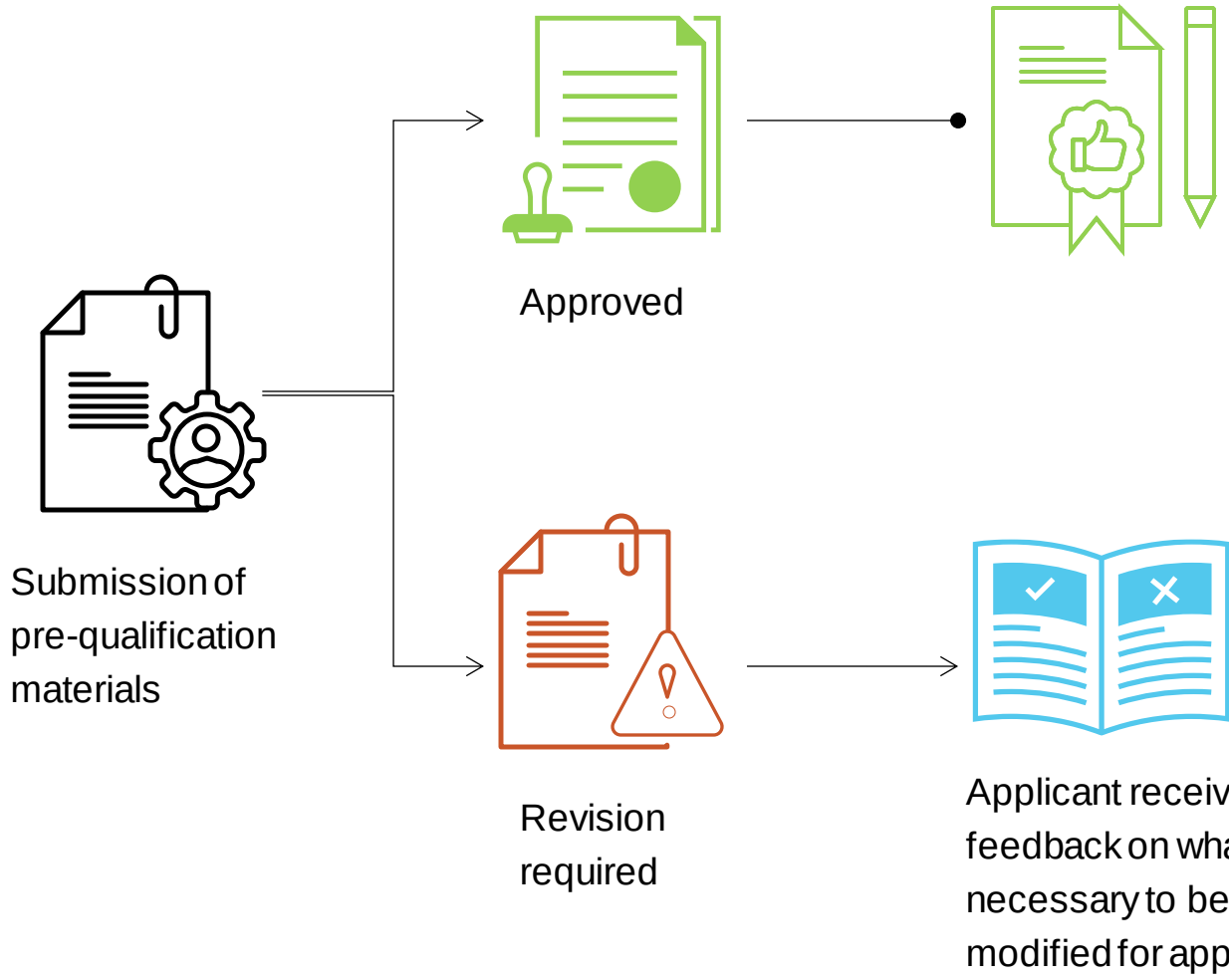
There are two outcomes in the pre-qualification review process



Approved pre-qualification materials **do not need to be re-submitted** during the BEAD-funded Connect IL Round 4 subgrantee process

Applicant may resubmit during the BEAD-funded Connect IL Round 4 subgrantee process

Applicant may resubmit during the pre-qualification process for approval or feedback[1]

1. To ensure proper time for a resubmission, complete the initial submission by the priority review deadline. The State offers no guarantee that feedback will be sent with sufficient time to resubmit during the pre-qualification process

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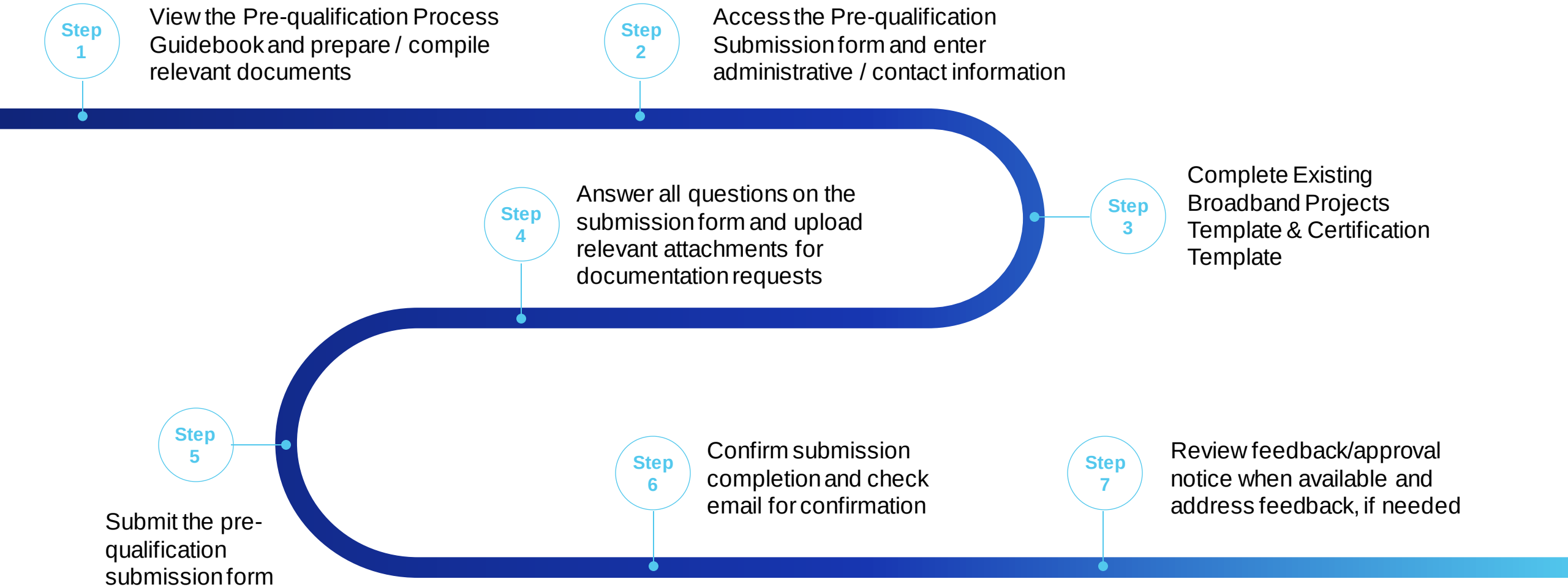
Participating in the Pre-Qualification Process

Overview of how to participate in the pre-qualification process

All participants will be able to submit pre-qualification materials from June 17 to July 23, 2024



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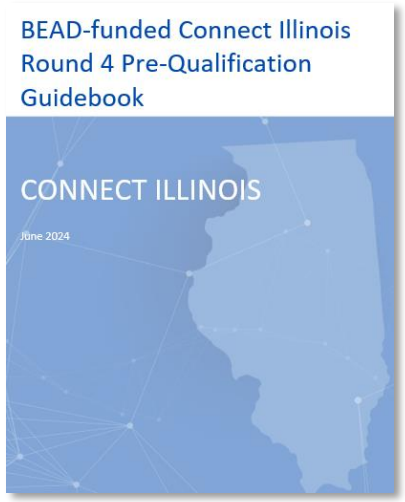
Step 1

Step-by-step guide to submitting pre-qualification materials

View the Pre-qualification Process Guidebook and prepare/compile relevant documents

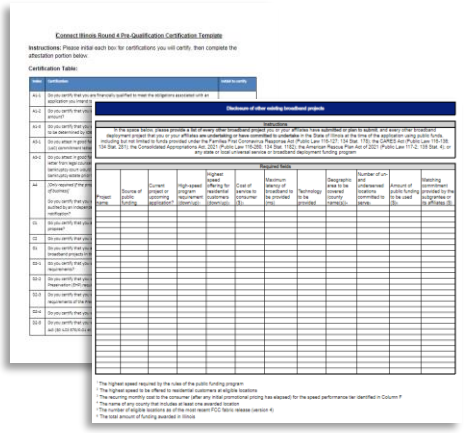
1a

Review the Pre-Qualification Process Guidebook



1b

Download and prepare the relevant submission templates



1c

Draft narrative responses and compile required attachments

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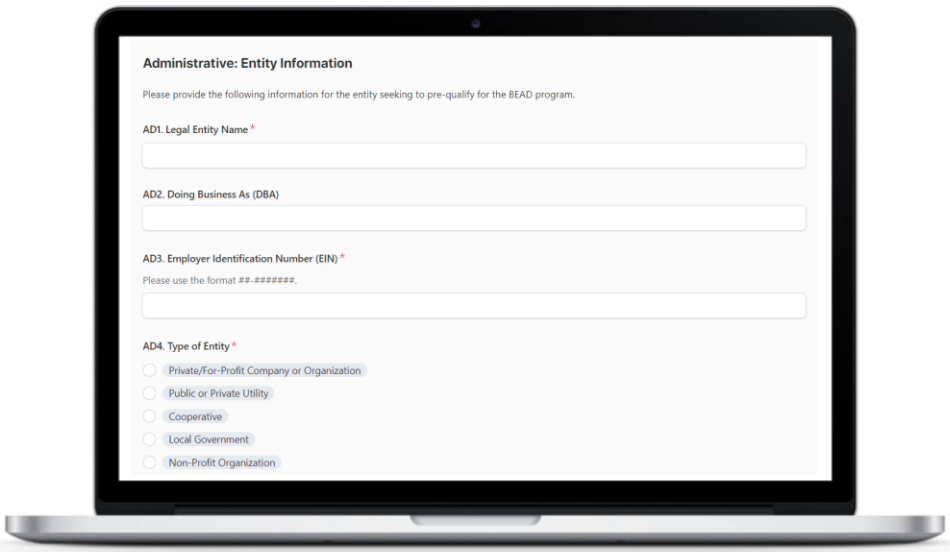
Step 2

Step-by-step guide to submitting pre-qualification materials

Access the *Pre-qualification Submission Form* and enter administrative / contact information



2a

Provide information on the legal entity name, EIN, and type of entity

2b

Fill out the following information for a primary and secondary contact

- Name
- Job Title
- Email Address
- Phone Number

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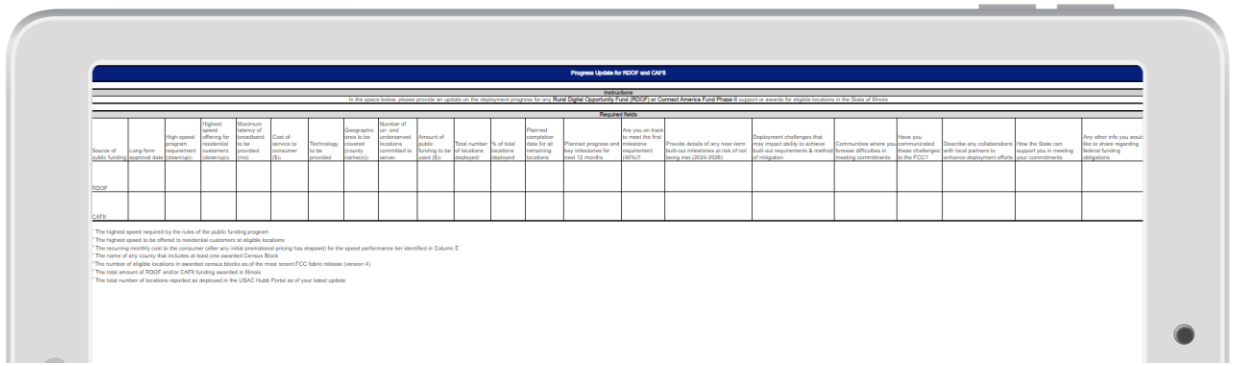
Step 3

Step-by-step guide to submitting pre-qualification materials

Download and complete the *Existing Broadband Projects Template*


Progress Update for RDOF and CAFII

Instructions

In the space below, please provide an update on the deployment progress for any Rural Digital Opportunity Fund (RDOF) or Connect America Fund Phase II support or awards for eligible locations in the State of Illinois

Required fields

Source of public funding	Long form approval date	High-speed program requirement (down/up)	Highest speed offering for residential customers (down/up)	Maximum latency of broadband to be provided (ms)	Cost of service to consumer ($)	Technology to be provided	Geographic area to be covered (county name(s))	Number of un- and underserved locations committed to serve	Amount of public funding to be used ($)	Total number of locations destroyed	% of total locations deployed	Planned completion date for all remaining locations	Planned progress and key milestones for next 12 months	Are you on track to meet the final milestone requirement (YES/NO	Provide details of any near-term built-out milestones at risk of not being met (2024-2026)	Deployment challenges that may impact ability to achieve built-out requirements & method of mitigation	Communities where you foresee difficulties in meeting commitments	Have you communicated these challenges to the FCC?	Describe any collaborations with local partners to enhance deployment efforts	How the State can support you in meeting your commitments	Any other info you would like to share regarding federal funding obligations
RDOF																					
CAFII																					

[1] The highest speed required by the rules of the public funding program

[2] The highest speed to be offered to residential customers at eligible locations

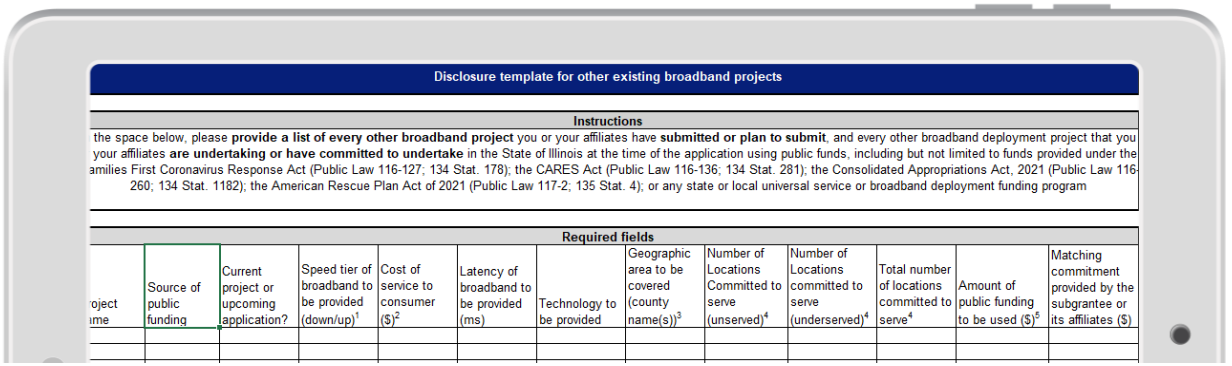
[3] The recurring monthly cost to the consumer (after any initial promotional pricing has elapsed) for the speed performance tier identified in Column E

[4] The name of any county that includes at least one awarded Census Block

[5] The number of eligible locations in awarded census blocks as of the most recent FCC fabric release (version 4)

[6] The total amount of RDOF and/or CAFII funding awarded in Illinois

[7] The total number of locations reported as deployed in the USAC Hubb Portal as of your latest update


Disclosure template for other existing broadband projects

Instructions

In the space below, please **provide a list of every other broadband project** you or your affiliates have **submitted or plan to submit**, and every other broadband deployment project that you or your affiliates **are undertaking or have committed to undertake** in the State of Illinois at the time of the application using public funds, including but not limited to funds provided under the Families First Coronavirus Response Act (Public Law 116-127; 134 Stat. 178); the CARES Act (Public Law 116-136; 134 Stat. 281); the Consolidated Appropriations Act, 2021 (Public Law 116-260; 134 Stat. 1182); the American Rescue Plan Act of 2021 (Public Law 117-2; 135 Stat. 4); or any state or local universal service or broadband deployment funding program

Required fields

Project name	Source of public funding	Current project or upcoming application?	Speed tier of broadband to be provided (down/up)[1]	Cost of service to consumer ($)[2]	Latency of broadband to be provided (ms)	Technology to be provided	Geographic area to be covered (county name(s))[3]	Number of Locations Committed to serve (unserved)[4]	Number of Locations committed to serve (underserved)[4]	Total number of locations committed to serve[4]	Amount of public funding to be used ($)[5]	Matching commitment provided by the subgrantee or its affiliates ($)

Download the "Existing Broadband Projects Template" Excel file by visiting the pre-qualification website

Tab 1 Progress update on RDOF and CAF II

For RDOF and CAF II support, provide information on the status of deployment in Illinois

Tab 2 Other Existing Broadband Projects

Complete a new row for each project currently funded or to be funded with public funds

Note: Please refer to the pre-qualification submission guide for full details

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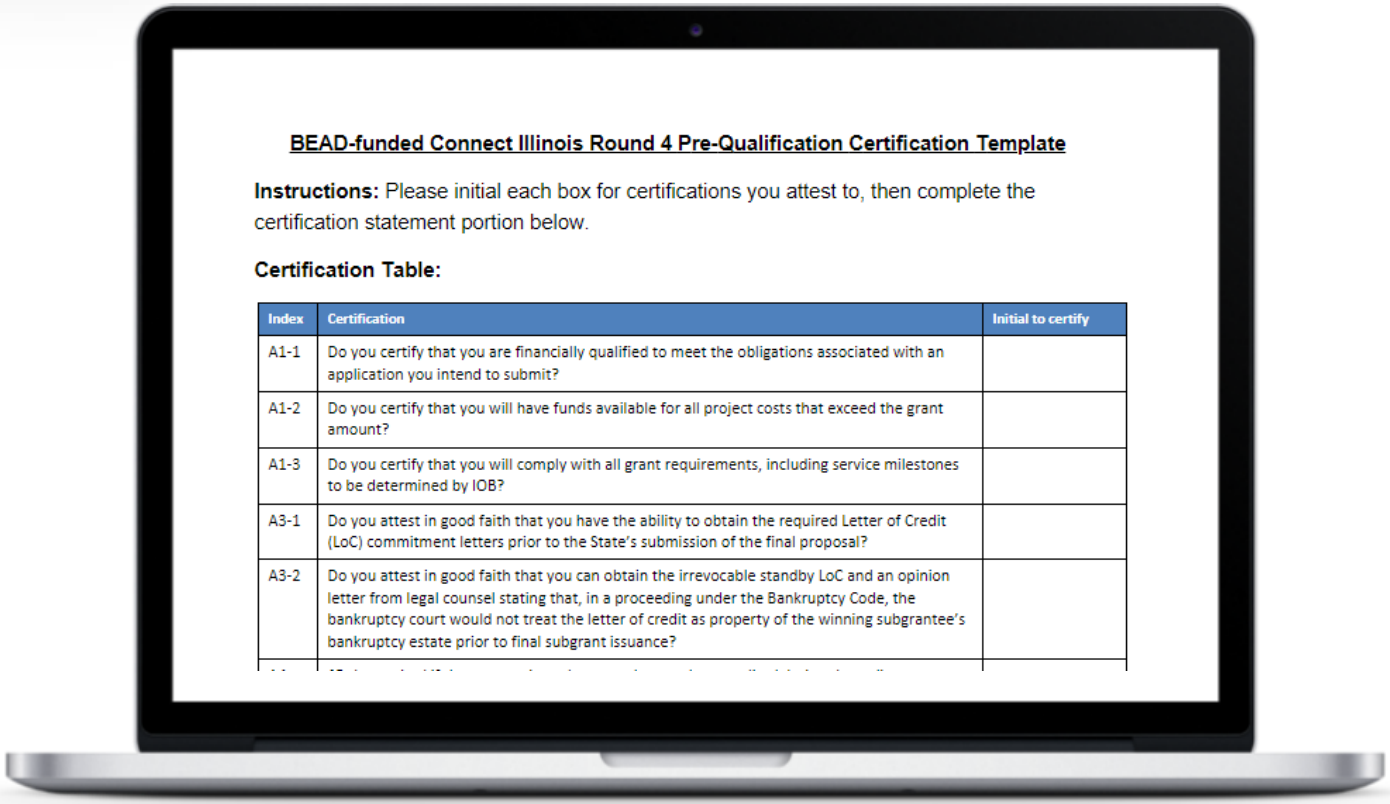
Step 3

Step-by-step guide to submitting pre-qualification materials

Download and complete the *Certification Template*



BEAD-funded Connect Illinois Round 4 Pre-Qualification Certification Template

Instructions: Please initial each box for certifications you attest to, then complete the certification statement portion below.

Certification Table:

Index	Certification	Initial to certify
A1-1	Do you certify that you are financially qualified to meet the obligations associated with an application you intend to submit?	
A1-2	Do you certify that you will have funds available for all project costs that exceed the grant amount?	
A1-3	Do you certify that you will comply with all grant requirements, including service milestones to be determined by IOB?	
A3-1	Do you attest in good faith that you have the ability to obtain the required Letter of Credit (LoC) commitment letters prior to the State's submission of the final proposal?	
A3-2	Do you attest in good faith that you can obtain the irrevocable standby LoC and an opinion letter from legal counsel stating that, in a proceeding under the Bankruptcy Code, the bankruptcy court would not treat the letter of credit as property of the winning subgrantee's bankruptcy estate prior to final subgrant issuance?	

3a

Download the certification form from the pre-qualification website

3b

Initial each certification and sign the attestation at the end of the document

3c

Upload the signed copy to the submission form

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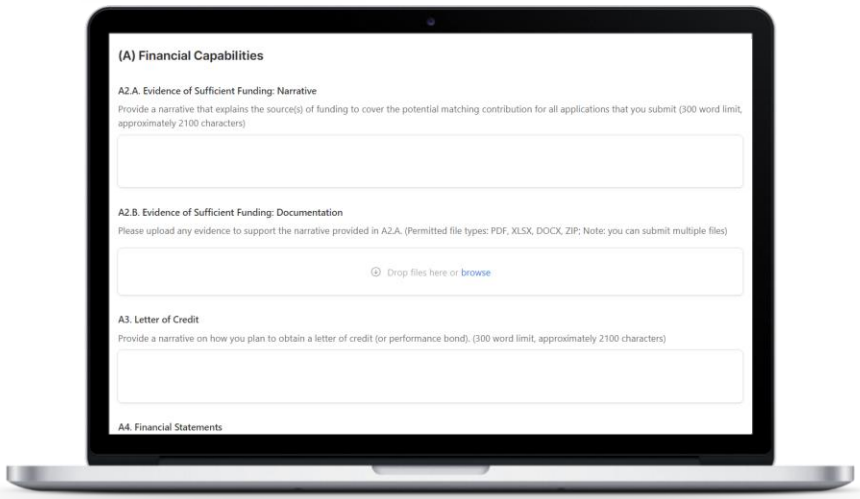
Step 4

Step-by-step guide to submitting pre-qualification materials

Answer all questions on the submission form and upload relevant attachments for documentation requests



There are *seven* qualification requirements

A. Financial capabilities

B. Managerial capabilities

C. Technical capabilities

D. Legal and regulatory compliance

E. Operational capabilities

F. Ownership

G. Stewardship of other public funding

See the next pages for examples of documentation required for each qualification

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Step 4
Deep dive

Step-by-step guide to submitting pre-qualification materials

Examples of documentation required for each qualification (1/2)

ILLUSTRATIVE NON-EXHAUSTIVE

	Qualification category	Qualification	Example documentation required	Additional details
A	**Financial capabilities**	Financial statements	Financial statements from the prior fiscal year that are audited by an independent, certified public accountant	Financial statements if audited. If not audited, provide unaudited statements and certify ability to provide within 90 days of award notification
B	**Managerial capabilities**	Résumés	Résumés for key management and operational personnel	~5-7 résumés for essential financial, technical, and managerial personnel who will be supporting project implementation
C	**Technical capabilities**	Technical qualifications	Certification and evidence that prospective subgrantees are technically qualified to complete and operate the project and are capable of carrying out the funded activities competently	Explanation on how prospective subgrantee will retain technical experience Evidence may include endorsements from prior partners or certifications from reputable institutions

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Step 4
Deep dive

Step-by-step guide to submitting pre-qualification materials

Examples of documentation required for each qualification (2/2)

ILLUSTRATIVE NON-EXHAUSTIVE

	Qualification category	Qualification	Example documentation required	Additional details
D	**Legal and regulatory compliance**	History of compliance	Detailed history of compliance with all federal, state, and local laws for previous broadband projects in the last three years	If not in compliance for a project, documentation is needed of the violation and the mitigating action
E	**Operational capabilities**	Timely FCC submission	Certification and evidence that the FCC Form 477 and Broadband DATA Act reports were submitted on time	Only relevant for prospective subgrantees that have provided a voice and/or broadband service
F	**Ownership information**	Ownership disclosure	List of the real party or parties with interest in the prospective subgrantee or application	List should include a complete disclosure of the identity and relationship of those persons or entities that directly or indirectly own and/or control the prospective subgrantee
G	**Stewardship of other public funding**	Other broadband projects	Every broadband deployment project currently undertaking or committed to undertake in IL using public funds	Disclosure template – deep dive to follow

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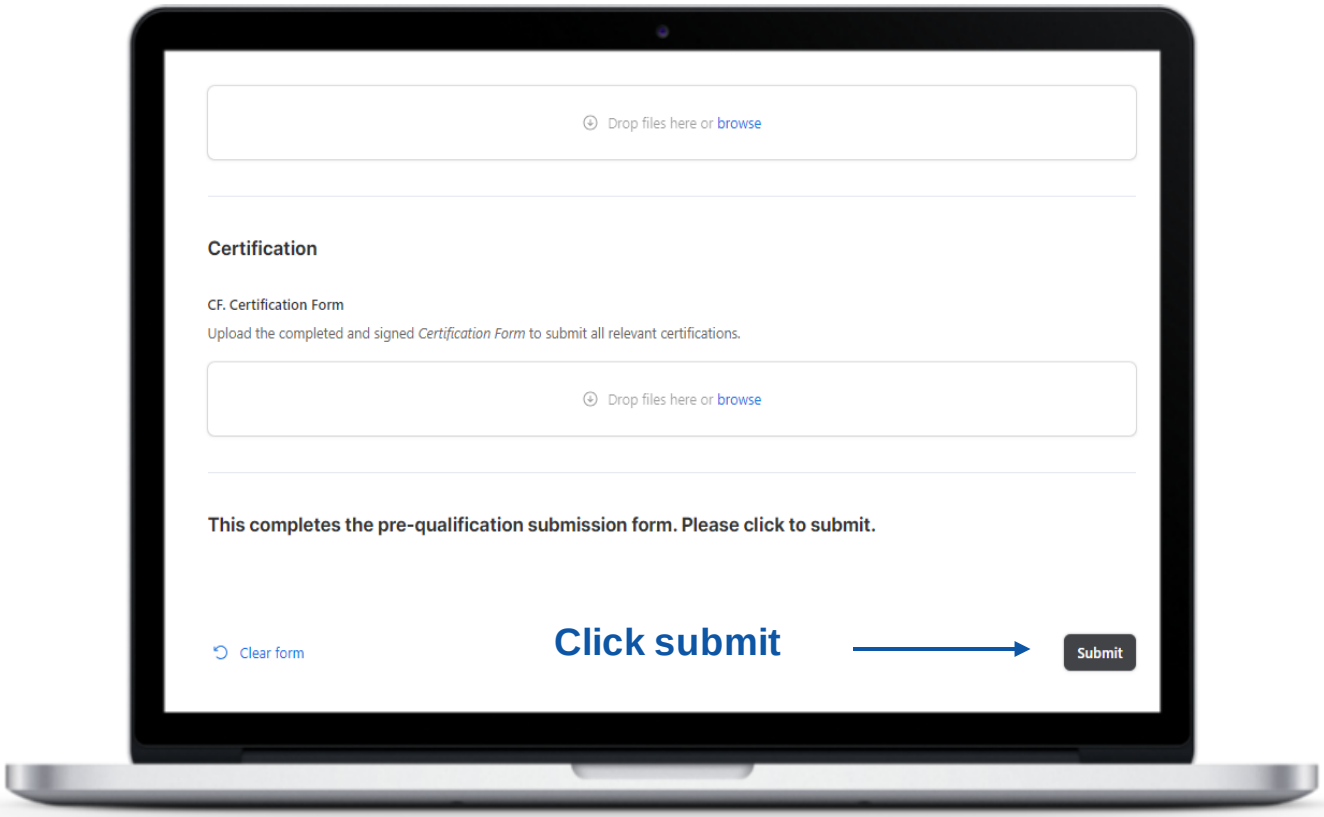
Step 5

Step-by-step guide to submitting pre-qualification materials

Submit the pre-qualification submission form



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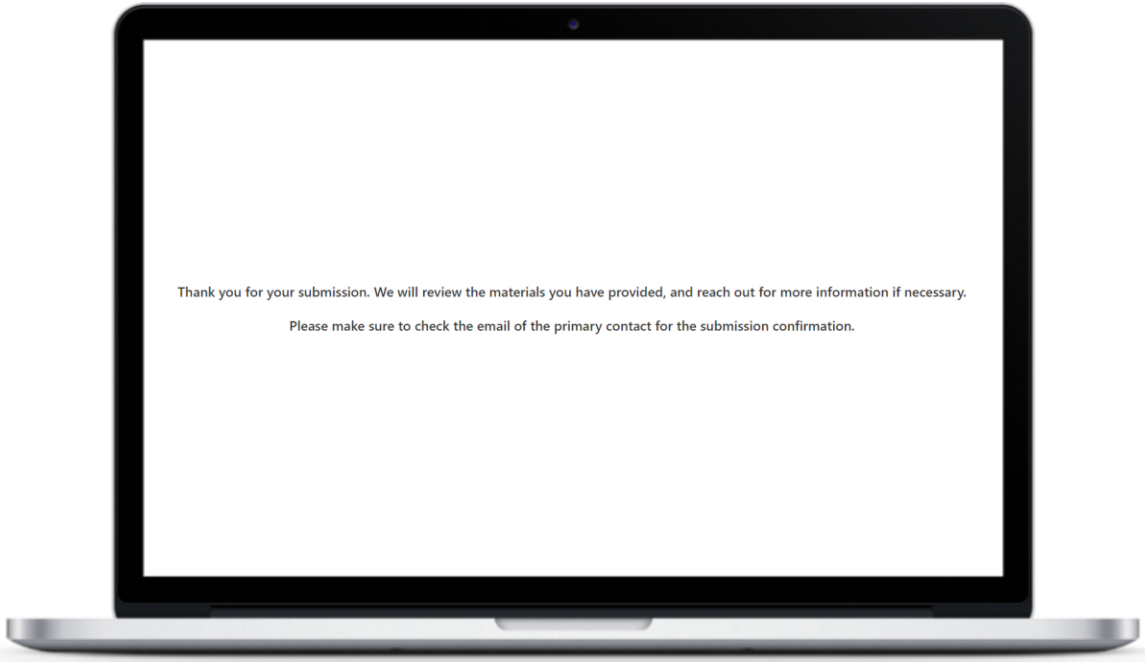
Step 6

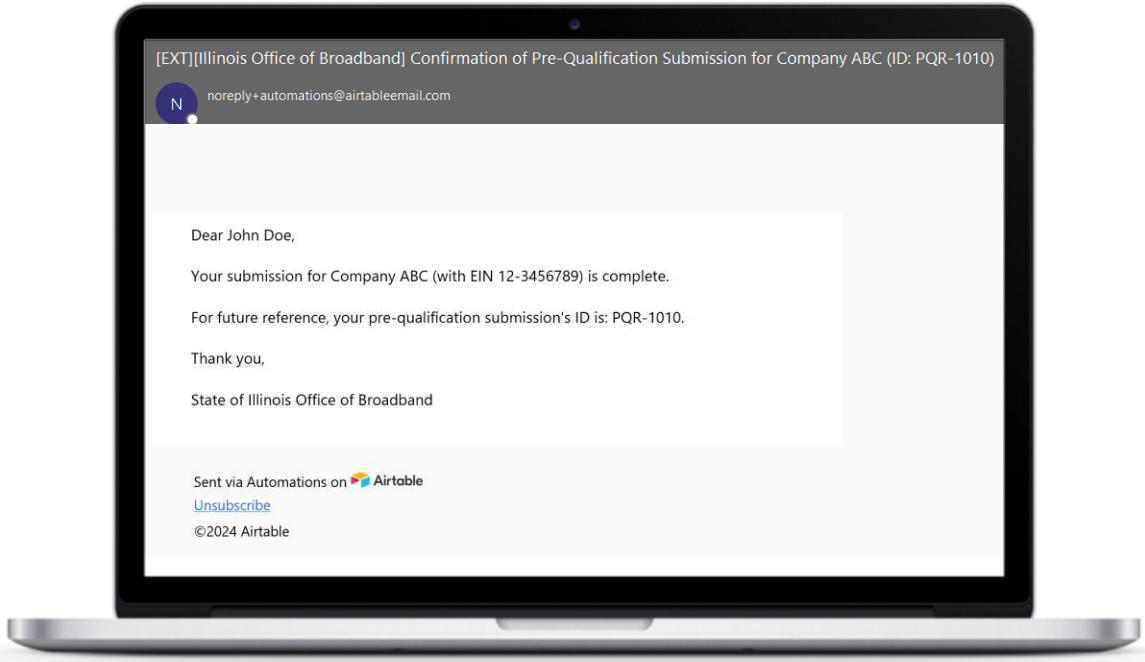
Step-by-step guide to submitting pre-qualification materials

Confirm submission completion and check email for confirmation

Submission completion page



Submission email confirmation



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Step 7

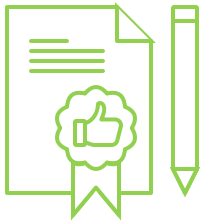
Step-by-step guide to submitting pre-qualification materials

Review feedback/approval notice and address feedback, if needed

Recall: There are two outcomes possible from the pre-qualification process

Submission of pre-qualification materials

Approved



Approved pre-qualification materials **do not need to be re-submitted** during the BEAD-funded Connect IL Round 4 subgrantee process

Revision required

Applicant receives feedback on what is necessary to be modified for approval

Applicant may resubmit during the BEAD-funded Connect IL Round 4 subgrantee process

OR

Applicant may resubmit during the pre-qualification process for approval or feedback

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Live Demo: Pre-qualification Submission Form

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Next steps

Next Steps for the Pre-qualification Process

Questions? Reach out to:
broadband@Illinois.gov

View the pre-qualification process website

Learn more about the process, view announcements and updates, and download relevant materials on the IOB pre-qualification website

Read the Pre-qualification Submission Guidebook

Download the submission guide for full details and FAQs of the pre-qualification process

Download and fill template attachments

Download the *Disclosure Template for Other Broadband Projects* and the *Certification Template* from the IOB website

Submit your response before July 9 (priority) or July 23 (regular)

Submit your pre-qualification materials via the submission form

Sign up to be an Illinois Office of Broadband Partner

Sign up as Illinois Office of Broadband Partner via the intake form

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Appendix

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Potential consideration factors in the determination of hard-to-serve PAUs

Context on hard-to-serve PAUs

- To further the goal of connecting all Illinoisans, the IOB has chosen to implement a **three-wave approach** for the subgrantee process
- **Wave 1** of subgrantee process will be focused on **hard-to-serve areas** and only the applications including sufficient hard-to serve areas will be processed

Consideration factors	Impacted areas
A Proportion of BSLs that have had little provider interest in prior Connect Illinois grant rounds or federal grant rounds	To be further specified by the State
B Proportion of unserved BSLs	To be further specified by the State
C Whether the PAU is in Persistent Poverty Counties as defined by Census	Three impacted counties (Alexander, Jackson, and Pulaski County)
D Whether the PAU is in a High-Cost Area (HCA) as defined by NTIA	Six impacted CBGs

Exact methodology to determine hard-to-serve PAUs to be finalized by the State prior to the start of the subgrantee process

Source: Connect Illinois Initial Proposal Volume II; BEAD Allocation Methodology, NTIA

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DOCUMENT INTENDED TO PROVIDE INSIGHT BASED ON CURRENTLY AVAILABLE INFORMATION FOR CONSIDERATION AND NOT PRESCRIBE SPECIFIC ACTION

There are three documentation types relevant for submitting pre-qualification materials

There are three documentation types

Attachment

Prospective subgrantees will need to upload a document or complete a template document and upload to the form

Narrative

Prospective subgrantees will need to provide a narrative description of the qualification directly in the submission form

Certification

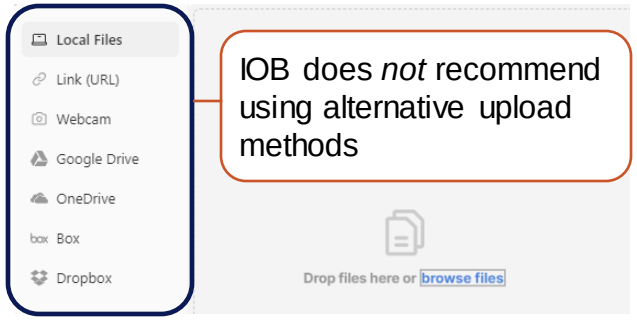
Prospective subgrantees will need to attest to the certification statement **on the Certification Template** and upload a signed version (Step 4)

Instructions

1

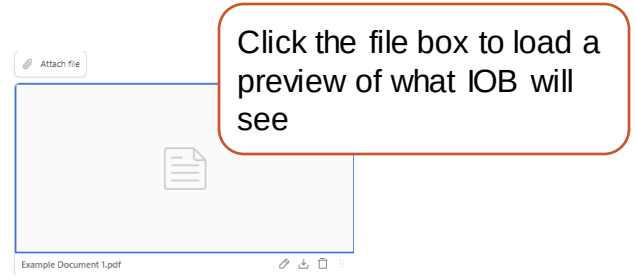
Drag and drop or click browse files to select one or multiple files

Document types allowed: PDF, XLSX, DOCX, JPEG, and PNG



2

Confirm all documents are uploaded by clicking on the popup to preview your submission



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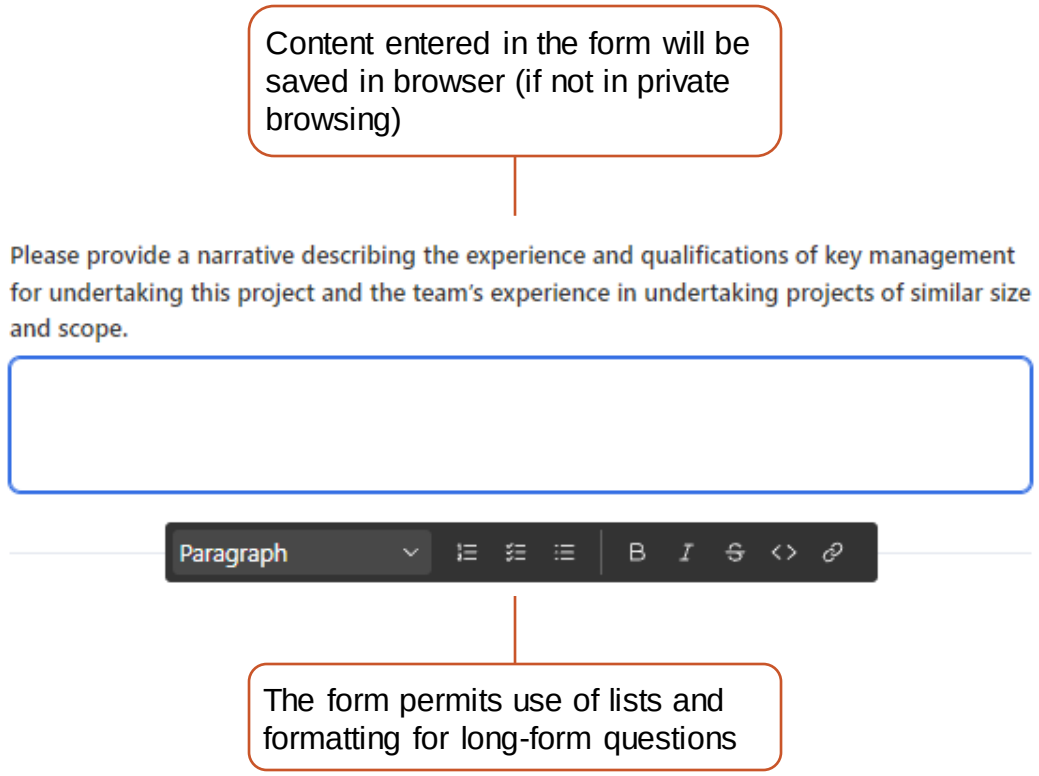
Example



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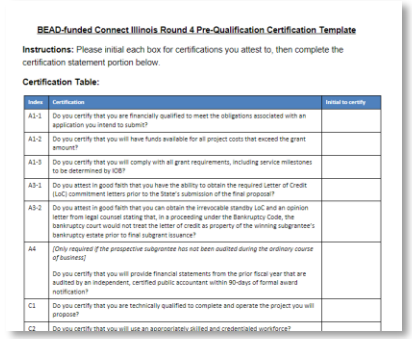
Instructions

To complete qualifications which require certification documentation, the submitter should initial the box next to the certification on the **Certification Template**



BEAD-funded Connect Illinois Round 4 Pre-Qualification Certification Template

Instructions: Please initial each box for certifications you attest to, then complete the certification statement portion below.

Certification Table:

Index	Certification	Initial to certify
A1-1	Do you certify that you are financially qualified to meet the obligations associated with an application you intend to submit?	
A1-2	Do you certify that you will have funds available for all project costs that exceed the grant amount?	
A1-3	Do you certify that you will comply with all grant requirements, including service milestones to be determined by IOBT	
A3-1	Do you attest in good faith that you have the ability to obtain the required Letter of Credit (LoC) commitment letters prior to the State's submission of the final proposal?	
A3-2	Do you attest in good faith that you can obtain the irrevocable standby LoC and an opinion letter from legal counsel stating that, in a proceeding under the Bankruptcy Code, the bankruptcy court would not treat the letter of credit as property of the winning subgrantee's bankruptcy estate prior to final subgrant issuance?	
A4	*[Only required if the prospective subgrantee has not been audited during the ordinary course of business]* Do you certify that you will provide financial statements from the prior fiscal year that are audited by an independent, certified public accountant within 90-days of formal award notification?	
C1	Do you certify that you are technically qualified to complete and operate the project you will propose?	
C2	Do you certify that you will use an appropriately skilled and credentialed workforce?	

QR to be modified

Scan here or visit the pre-qual information website to access the Certification Template

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(A) Financial Capabilities

Type of documentation

Narrative | Attachment | Certification

CONTENTS ABBREVIATED - REFER TO GUIDANCE DOCUMENT FOR FULL DETAILS

Qualification	Description of documentation	Examples
A1 Financial certification	Subgrantees must certify they have the financial means to comply and execute the program	Statement in Certification Template
A2 Evidence of sufficient funding	Narrative and evidence that subgrantees will have sufficient financial resources to cover the eligible cost of the project	Cash on balance sheet, credit lines, equity commitments
A3 Letter of credit (LoC)	Narrative on how subgrantees plan to obtain a letter of credit (or performance bond)	The names of banks / entities the applicant plans to enlist and the estimated timeline to obtain LoC documentation
A4 Financial statements	Financial statements from the prior fiscal year that is audited by an independent, certified public accountant	Financial statements if audited. If not audited, provide unaudited statements and certify ability to provide within 90 days of award notification

Note: Please refer to the pre-qualification submission guide for full details.

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(B) Managerial capabilities

Type of documentation

Narrative | Attachment | Certification

CONTENTS ABBREVIATED - REFER TO GUIDANCE DOCUMENT FOR FULL DETAILS

Qualification	Description of documentation	Examples
B1 Résumés	Résumés for key management and operational personnel	~5-7 résumés for essential financial, technical, and managerial personnel who will be supporting project implementation
B2 Organizational chart(s)	Organizational charts for personnel and entity structure	Personnel org chart including all personnel supporting project implementation Entity structure organizational chart including relevant parent, subsidiary, affiliate companies
B3 Managerial readiness	Narrative of managerial readiness to manage a broadband services network	Description of experience and qualifications of key management personnel Description of team's experience in previous projects of similar scope

Note: Please refer to the pre-qualification submission guide for full details.

(C) Technical capabilities

Type of documentation

Narrative · Attachment · Certification

CONTENTS ABBREVIATED - REFER TO GUIDANCE DOCUMENT FOR FULL DETAILS

Qualification	Description of documentation	Examples
C1 Technical qualifications	Certification and evidence that prospective subgrantees are technically qualified to complete and operate the project and are capable of carrying out the funded activities competently	Explanation on how prospective subgrantees will retain technical expertise Evidence may include endorsements from prior partners or certifications from reputable institutions
C2 Use of skilled and credentialed workforce	Certification that prospective subgrantees will use an appropriately skilled and credentialed workforce	Statement in Certification Template

Note: Please refer to the pre-qualification submission guide for full details.

(D) Legal and regulatory compliance

Type of documentation

Narrative Attachment Certification

CONTENTS ABBREVIATED - REFER TO GUIDANCE DOCUMENT FOR FULL DETAILS

Qualification	Description of documentation	Examples
D1 **History of compliance**	Detailed history of compliance with all federal, state, and local laws for previous broadband projects in the last three years	Statement in Certification Template If not in compliance for a project, documentation is needed of the violation and the mitigating action
D2 **Commitment to comply with all laws**	Detailed commitment to comply with all federal, state, and local laws (such as procurement, environmental, preservation and labor)	Statement in Certification Template Narrative and documentation of established processes, procedures, or protocols to ensure continued compliance
Occupational safety and health regulations	Narrative and evidence of compliance with occupational safety and health requirements Subgrantees must permit workers to create worker-led health and safety committees	Describe organizational policies and how they will ensure workplace safety committees Documentation that relevant training programs are in place (schedules, modules, etc.)

Note: Please refer to the pre-qualification submission guide for full details.

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(E) Operational capabilities

Type of documentation

Narrative · Attachment · Certification

CONTENTS ABBREVIATED - REFER TO GUIDANCE DOCUMENT FOR FULL DETAILS

Entity type	Qualification	Description of documentation	Examples
Provided voice, broadband, or electric services	E1 **Years of Service**	Certification that attests to these facts and specifies the number of years the prospective subgrantee or its parent company has been operating	Statement in Certification Template
Provided voice, or broadband service	E2 **Timely FCC Submission**	Certification and evidence that the FCC Form 477 and Broadband DATA Act were submitted on time	Email or screenshot confirmations/verifications
Provided electric service	E3 **Timely submission to relevant institutions**	Operating or financial reports that the applicant has filed on time Certification attesting accuracy of reports	RUS Form 7, RUS Form 12, CFC Form 7, CFC Form 12, CoBank Form 7
New Entrants	E4 **Operational capabilities**	Demonstration that newly formed entity has obtained sufficient operational capabilities	Résumés from key personnel, project descriptions or narratives from partners with relevant experience

Note: Please refer to the pre-qualification submission guide for full details.

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(F) Ownership

Type of documentation

Narrative | Attachment | Certification

CONTENTS ABBREVIATED - REFER TO GUIDANCE DOCUMENT FOR FULL DETAILS

F1 Ownership information with the requirements set forth in 47 C.F.R. 1.2112(a)(1)-(7)

The applicant (or if applying as a consortium, each participant in the consortium) in the submission[1] must:

1. List the **real party or parties with interest in the applicant** or application
2. List the **name, address, and citizenship of any party holding 10 percent or more of stock in the applicant**
3. For LPs, the name, address, and citizenship of each limited partner whose interest in the applicant is 10 percent or greater
   For GPs, the name, address, and citizenship of each partner and their share or interest participation in the partnership.
   For LLCs, the name, address, and citizenship of each of its members whose interest in the applicant is 10 percent or greater.
4. List all parties **holding indirect ownership interests in the applicant equal to or exceeding 10 percent**
5. **List any FCC-regulated entity or applicant for an FCC license who** owns 10 percent or more of stock

1. Submission is a narrative with the ability to upload supporting documentation
Note: Please refer to the pre-qualification submission guide for full details.

(G) Stewardship of other public funding

Type of documentation

Narrative | Attachment | Certification

CONTENTS ABBREVIATED - REFER TO GUIDANCE DOCUMENT FOR FULL DETAILS

Qualification	Description of documentation	Examples
G1 Lack of Federal Debarment	Attestation whether prospective subgrantee has ever been federally debarred	Indication on form Explanation of federal debarment
G2 Previous history of incomplete projects	List of all publicly funded awards issued in last five years where they have been suspended, terminated, rescinded, or not completed	Identification and explanation of cause for all such publicly funded awards
G3 Disclosure of other broadband projects in State of IL	Every broadband deployment project currently undertaking or committed to undertake in IL using public funds	Disclosure template
G4 Progress update on federal broadband awards in State of IL	If prospective subgrantee has received federal funding, an update on the process to date for projects using RDOF or CAF II federal funds	Disclosure template

Note: Please refer to the pre-qualification submission guide for full details.

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